

# CAF-DSPT Workflow Module

Simplify CAF-aligned DSPT compliance with structured workflows, task accountability, and audit preparedness.

## The Challenge: Navigating Compliance Complexity

NHS organisations must now comply with the evolved Data Security and Protection Toolkit (DSPT), aligned to the Cyber Assessment Framework (CAF) - the National Cyber Security Centre's outcome-based model for cyber resilience and information governance assurance.

This modernised approach to the DSPT provides clearer standards and a long-term roadmap for incremental improvement, enabling organisations to apply strong cyber and data protection principles in a way that best serves patients and service users.

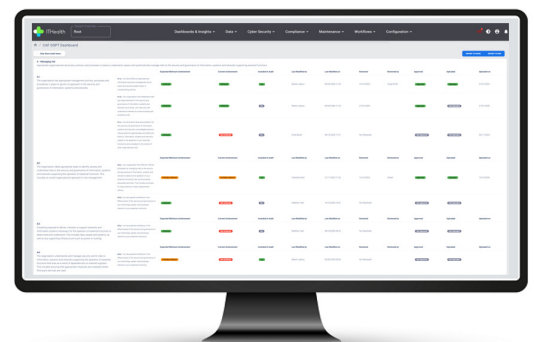
At the same time, it introduces new ways of working: organisations must self-assess against multiple Indicators of Good Practice (IGPs) mapped to CAF Objectives, Principles and Outcomes, coordinate responsibilities across teams, track progress, and maintain evidence in a structured, audit-ready format.

Many NHS informative governance (IG) teams still rely on spreadsheets or fragmented tools to manage this complex process, which can result in duplication, poor visibility, unclear accountability, and longer preparation time for internal and external assurance.

**IG teams need structured compliance practices that make it easier to track progress, maintain accountability, and embed strong IG and cyber standards.**

### Key issues include:

- ▶ **Complex compliance mapping:**  
Coordinating multiple IGPs across CAF Principles, Outcomes, and Objectives.
- ▶ **Fragmented workflows:**  
Relying on spreadsheets and disconnected tools slows progress.
- ▶ **Limited visibility:**  
Hard to track real-time status and team accountability.
- ▶ **Audit preparation burden:**  
Gathering and consolidating evidence is time-consuming.
- ▶ **Audit preparation burden:**  
Difficulty maintaining consistent year-on-year enhancement plans.



## The Solution: A Streamlined, Intuitive Compliance Workflow

The CAF-DSPT Workflow Module in the ITHealth Dashboard centralises compliance management, replacing manual processes with a structured, workflow-driven system.

It goes beyond the official DSPT-CAF toolkit portal by organising complex compliance requirements into a user-friendly system, ensuring every IGP is accounted for, progress is visible in real-time, and all supporting evidence is audit ready.

Features include:

### Streamlined Task Assignment

Delegate and assign IGPs and associated sub-tasks to the right team members with individual deadlines and priority flags to highlight areas for critical focus.

### User-Assignment Views

View assigned IGPs per user with compliance status, update history, and access to automated email templates for reminders or progress updates.

### Automated Supporting Statements

Generate supporting statements for each Outcome automatically, based on notes provided by assigned owners.

### Real-Time Compliance Tracking

Monitor progress across Principles, Outcomes, and Objectives through an interactive compliance matrix with progress charts, last-reviewed/updated dates, and approval tracking. Export the Overview Matrix directly to Excel for simple sharing.

### Audit-Ready Evidence Management

Collect and store notes, documents, and supporting evidence in one secure location. Use evidence tagging aligned with the Independent Assessment and Audit Framework (IAAF) and grant auditors direct workflow access for seamless review.

### Audit Preparation and Readiness View

Flag optional Outcomes as "Included in Audit" and track audit-readiness percentages by Outcome and overall submission. Quickly identify evidence gaps and priority actions, and generate a comprehensive audit export with full evidence and document mapping.

### Audit Recommendations

Post-audit, create and import audit recommendations and assign them to relevant Outcomes for clear tracking and visibility.

### Improvement Plans

Optional individual improvement plans are available against IGPs and Outcomes which feed into an Overall Improvement Plan. Export data in the NHS England spreadsheet format for easy submission to the NHSE portal, highlighting areas for ongoing enhancement.

### Embedded Guidance

Access CAF guidance and example evidence directly within the ITHealth workflow, helping teams interpret requirements and implement best practices efficiently.

## Key Benefits

- ▶ **Increased Efficiencies:**  
A consistent, workflow-driven process that saves time, reduces errors, and eliminates duplication.
- ▶ **Enhanced Collaboration:**  
Shared workflows, automated notifications, and unified dashboards keep teams aligned and co-ordinated.
- ▶ **Clear Visibility and Accountability:**  
Centralised task ownership and real-time tracking give teams clarity on responsibilities, progress, and gaps.
- ▶ **Audit Preparedness:**  
Structured evidence storage, readiness dashboards, and auditor access streamline internal and external assurance.

## Contact us for a demonstration:

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